



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Nakagawa et al.

RECEIVED

SERIAL NO.:

09/051,670

**GROUP NO.:** 

1647

MAY 1 6 2001

FILING DATE:

April 16, 1998

**EXAMINER:** 

Romeo, D.

**TECH CENTER 1600/2900** 

TITLE:

Novel DNA and Process for Preparing Protein Using the DNA

## CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Assistant Commissioner for Patents, Washington, DC 20231 on this 8th day of May, 2001.

Rachel McLendon Kent

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Submitted herewith is/are:

Transmittal Form (1 pg); Fee Transmittal (1 pg); check for \$180.00; Supplemental Information Disclosure Statement (2 pgs); PTO Form 1449 (2 pgs); copies of references A3- A10, B4-B8, C9-C25 and a return receipt postcard.

Ž	OLD E JOJE						
1	1 July 2		Application	on Serial Number		051,670	
( M) )			Filing Date		Apı	April 16, 1998 RECEI	
\& \$\ \\$				d Inventor			
FORM  FORM  Attor  Pater			Group Art I	Group Art Unit Examiner Name Attorney Docket No.		Romeo, D. TECH CENTER FJN-063	
			Examiner N				
			Attorney De				
			BATCH NO. (after allowance)		Not	Not applicable	
			Patent No.		Not	Not applicable	
			Issue Date	Issue Date		Not applicable	
-		E	NCLOSURES (	check all that apply)			
F	ee Transmittal Form		Copy of Notic	te to File Missing cation (PTO-1553)		Notice of Appeal to Board of Patent Appeals and Interferences	
	☐ Check Attached☐ Copy of Fee Transmittal Form		Formal Drawi	ng(s)		Appeal Brief (in triplicate)	
	Amendment/Response		Request For C Examination (			Status Inquiry	
	☐ Preliminary ☐ After Final	Transmittal				Return Receipt Postcard	
	Affidavits/declaration(s)  Letter to Official  Draftsperson		Power of Atto (Revocation o	rney f Prior Powers)		Certificate of First Class Mailing under 37 C.F.R. 1.8	
including Drawings			Terminal Disclaimer			Certificate of Facsimile Transmission under 37 C.F.R. 1.8	
	Petition for Extension of Time			laration and Power r Utility or Design ation		Additional Enclosure(s) (please identify below)	
⅓	Information Disclosure Statement		Small Entity S	tatement			
	<ul><li>✓ Form PTO-1449</li><li>✓ Copies of IDS</li><li>Citations</li></ul>		CD(s) for larg	e table or computer			
	Certified Copy of Priority Document(s)		Amendment A	After Allowance			
	Sequence Listing submission Paper Copy/CD Computer Readable Copy Statement verifying identity of above		Request for Certificate of Correction Certificate of Correction (in duplicate)				
CORRESPONDENCE ADDRESS				SIGNATURE BL	оск		
Direct all correspondence to:  Patent Administrator Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110 Tel. No.: (617) 248-7000 Fax No.: (617) 248-7100			Date: May 8, 2001 Reg. No. 43,526 Tel. No.: (617) 248 Fax No.: (617) 248		Respectfully submitted,  Jennifer A. Camacho Attorney for Applicant(s) Testa, Hurwitz & Thibeault, LLP High Street Tower 125 High Street Boston, MA 02110		

OPERTRANSMITTAL Rev 2001

	Complete if Known	RECEIVED
Application Serial Number	09/051,670	TIE O E. V
Filing Date	April 16, 1998	MAY 1 0 2001
First Named Inventor	Nakagawa et al.	MAY 1 6 20 01
Group Art Unit	1647	
Examiner Name	Romeo, D.	TECH CENTER 1600/2900
Attorney Docket No.	FJN-063	

PRADEMMETHOD OF PAYMENT	FEE CALCULATION (continued)			
1. Payment Enclosed:	3. ADDITIONAL FEES			
☐ Check ☐ Money Order ☐ Other	Large	Small		
	Entity	Entity		
2. The Commissioner is hereby authorized to credit	Fee	Fee	Fee Description Fee Paid	
or charge any fee indicated below for this submission	(\$)	(\$)		
to Deposit Account No. 20-0531.	120	65	Combany late Clima Commands	
Required Fees (copy of this sheet enclosed).	130	65 25	Surcharge - late filing fee or oath	
Additional fee required under 37 CFR 1.16 and	50	25	Surcharge - late provisional filing fee or cover sheet	
1.17.	120	130		
Overpayment Credit.	130		Non-English specification	
3. Applicant claims small entity status.	2,520	2,520	For filing a request for reexamination	
FEE CALCULATION	110	55	Extension for reply within first month	
1. FILING FEE	390	195	Extension for reply within second	
I. Tibilio i bb			month	
Large Entity	890	445	Extension for reply within third month	
Fee (\$) Fee Description Fee Paid	1,390	695	Extension for reply within fourth	
			month	
	1,890	945	Extension for reply within fifth month	
710 Utility filing fee	310	155	Notice of Appeal	
320 Design filing fee	310	155	Filing a brief in support of an appeal	
150 Provisional filing fee	270	135	Request for oral hearing	
	130	130	Petitions to the Commissioner	
Northern Northern Date Assessed	50	50	Petitions related to provisional	
Number Number Rate Amount   Filed Extra	180	180	applications Submission of Information Disclosure 180.00	
Total Claims $-20 = x \$ 18.00 =$	180	100	Statement 180.00	
15th 5th 5th 5th 5th 5th 5th 5th 5th 5th	710	355	Filing a submission after final	
	,10	500	rejection (37 CFR 1.129(a))	
Independent	710	355	For each additional invention to be	
Claims $-3 = x \$ 80.00 =$			examined (37 CFR 1.129(b))	
_	Other fee (Specify)			
Multiple Dependent Claim(s), if any \$270.00 =	Other fee (Sp	ecify)		
TOTAL:				
SMALL ENTITY DISCOUNT:				
SUBTOTAL (1) (\$) 0.00				
2. AMENDMENT CLAIM FEES				
. Claims Highest No. Present Rate Fee Paid	SUBTOTAL (3) (\$) 180.00			
Remaining Previously Extra	(1)			
After Amend. Paid For				
Total - = x \$ 18.00 =			SUBTOTAL (I) 0.00	
Indep = x \$ 18.00 - x \$ 80.00 =	SUBTOTAL (1) 0.00 SUBTOTAL (2) 0.00			
First Presentation of Multiple Dep. + \$270.00 =	SUBTOTAL (3) 180.00			
Claim			33213112(3)	
TOTAL: (\$)			<del></del>	
SMALL ENTITY DISCOUNT: (\$)				
SUBTOTAL (2) (\$)0.00			TOTAL (\$) 180.00	
CORRESPONDENCE ADDRESS	SIGNATURE BLOCK			
Direct all correspondence to:		***	Respectiful sufficient,	
Patent Administrator	SHI BAMANA			
Testa, Hurwitz & Thibeault, LLP	Date: May 8, 2001			
High Street Tower-125 High Street	Reg. No.: 43,526 Jennater A. Camagno			
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